

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Arenberg, et al.

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Examiner: Unknown

Group Art Unit: 3734

Title: CONTROLLED RELEASE SYSTEM FOR DELIVERING THERAPEUTIC AGENTS INTO THE INNER EAR

THE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
- ☐ Certification under 37 CFR 1.97(e), or
- ☐ a \$240.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
- ☐ Certification under 37 CFR 1.97(e), and
- ☐ a petition under 37 CFR 1.97(d)(2)(ii), and
- ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

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- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed herewith.
- ☐ Please charge to Deposit Account 11-1150 the sum of \$\_\_\_\_\_.

At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 11-1150 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO-1449 - Information Disclosure Citation together with copies of patent publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet.

It is requested that the information disclosed herein be made of record in this application.

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I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to:  
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Respectfully submitted,

By

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